

Electronic Filing System (EFS) Data
Electronic Patent Application Submission
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Application ID: 09681360
Title of Invention: An optimum UMTS Modem
First Named Inventor: Quang Nguyen
Domestic/Foreign Application: Domestic Application
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09681360

TRANSMITTAL FORM



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Attorney Docket Number: IComm-16

An optimum UMTS Modem

First Named Inventor: Mr. Quang Nguyen

SUBMITTED BY

Organization Name:	IComm Technologies, Inc.
Name:	Mr. Quang Nguyen Sr. Engineer
Electronic Signature Mark: Han Quang Nguyen	Date Signed: 20010326

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I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

specification	umtsmodem.xml
declaration	dec_ua.tif
small-entity	sbacse.tif
fee-transmittal	umts_modemfee.xml
ibid-transmittal	umts_modemapds.xml

Attached Image File(s):

sbacse.tif

[illegible]

Comments:

1. The first part of the document is a list of the names of the people who were present at the meeting. The names are listed in alphabetical order. The second part of the document is a list of the topics that were discussed at the meeting. The topics are listed in alphabetical order. The third part of the document is a list of the actions that were taken at the meeting. The actions are listed in alphabetical order. The fourth part of the document is a list of the dates when the actions were taken. The dates are listed in alphabetical order. The fifth part of the document is a list of the people who were responsible for the actions. The people are listed in alphabetical order. The sixth part of the document is a list of the people who were present at the meeting. The people are listed in alphabetical order. The seventh part of the document is a list of the topics that were discussed at the meeting. The topics are listed in alphabetical order. The eighth part of the document is a list of the actions that were taken at the meeting. The actions are listed in alphabetical order. The ninth part of the document is a list of the dates when the actions were taken. The dates are listed in alphabetical order. The tenth part of the document is a list of the people who were responsible for the actions. The people are listed in alphabetical order.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☒ The attached application, or
☐ Application No. _____, filed on _____,
☐ as amended on _____ (if applicable).

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought.

If we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above,

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Quang Nguyen

Signature: [Signature] Citizen of: USA

Inventor two: _____

Signature: _____ Citizen of: _____

Inventor three: _____

Signature: _____ Citizen of: _____

Inventor four: _____

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on additional form(s) attached hereto

Sworn Under Oath: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN

Docket Number (Optional)

Applicant, Patentee, or Identifier ICRAM TECHNOLOGIES, INC.
Application or Patent No.: _____
Filed or Issued _____
Title AN OPTIMUM HATS MODEL

I hereby state that I am:
☐ the owner of the small business concern identified below
☒ an official of the small business concern empowered to act on behalf of the concern identified below

NAME OF SMALL BUSINESS CONCERN ICRAM TECHNOLOGIES, INC.
ADDRESS OF SMALL BUSINESS CONCERN 2241 W. WALNUT ST., ALBUQUERQUE, NM 87104

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20415.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above
☐ the application identified above
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:
☒ no such person, concern, or organization exists
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person/concern or organization having rights to the invention stating their status as small entities (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

NAME OF PERSON SIGNING QUANG NGUYEN
TITLE OF PERSON IF OTHER THAN OWNER OFFICER
ADDRESS OF PERSON SIGNING 2241 W. WALNUT ST., ALBUQUERQUE, NM 87104
SIGNATURE [Signature] DATE 5/26/2001

Burden of our Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL

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Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Small Entity

Small Business Concern

TOTAL FEES AUTHORIZED: \$ 355

BANK (CREDIT) CARD INFORMATION:

Credit Card Number: 4907
Expiration Date: 20020331
Authorized Name: IComm Technologies, inc.
Billing Address: 18104

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	201	\$ 355

Subtotal For Basic Filing Fee: \$ 355

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 10	203	\$ 9	0	\$ 0
Independent Claims: 3	202	\$ 40	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0

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